



State University System 2010-2011 Legislative Budget Request Development Board of Governors' Policy Guidelines

Pursuant to Section 7, Article 9 of the Florida Constitution the Board "...shall operate, regulate, control, and be fully responsible for the management of the whole university system." Included within this responsibility is the development of a Legislative Budget Request (LBR). In addition, Section 216.023(1), Florida Statutes, requires the submission of a legislative budget request to the legislature and governor based on an independent judgment of needs.

The 2010-2011 LBR shall be need-based and will provide flexibility for the Board of Governors (BOG) and universities' Boards of Trustees to jointly manage the System to meet the critical needs of the state, achieve the statewide goals and objectives of the State University System (SUS) Strategic Plan, address specific institutional issues, and demonstrate accountability/ justification. The following goals and objectives of the SUS Strategic Plan will be addressed in the request:

1. Access to and production of degrees
2. Meeting statewide professional and workforce needs
3. Building world-class academic programs and research capacity
4. Meeting community needs and fulfilling unique institutional missions

These system goals and objectives, as well as institutional goals and initiatives outlined in University Compacts, should be incorporated into the following priorities, which will be reflected in the LBR:

Operating and Specialized Program Funds:

1. Continuing costs associated with existing programs – This policy addresses the funds needed to continue existing programs.
 - a. Administered Funds – Funds will be requested for the annualization associated with the 2009-2010 change in employee salary and benefits, if appropriated by the 2009 Legislature.
 - b. Major Gift Program – Funds will be requested to continue support at 100% for the Major Gift matching program.
 - c. Plant operations and maintenance for new buildings –



- c. Medical Education – Funding will be requested for opening FIU’s and UCF’s medical schools and for BOG -approved issues at existing medical schools.
3. Global Competitiveness – Funding will be requested to continue the successful development and maintenance of nationally and internationally preeminent academic programs and model research centers.
4. Task Force Reports and Studies – Consideration will be given to initiatives recommended in any task force reports or studies and endorsed by the BOG.
5. If a university received non-recurring funds for an initiative and that initiative is a priority for continued funding, then the university should submit that issue for consideration by the Board.

The following represents the timeline for submission of the SUS 2010-2011 LBR for operations:

- March: The Board of Governors approves the operating LBR Policy Guidelines
- April: Chancellor provides technical instructions and requests Boards of Trustees/Universities to submit their operating LBR issues
- June: Boards of Trustees/Universities submit operating LBR issues to Chancellor
- July: Board of Governors’ staff reviews and prepares operating LBR; Continue discussions with universities
- August: Board of Governors approves the operating LBR
- October: LBR is submitted to the Governor and Legislature
- November: Board of Governors approves University Compacts in which goals, initiatives, and financial assumptions are aligned with submitted LBR issues

Fixed Capital Outlay Funds:

1. PECO funding for Remodeling/Renovation/Maintenance/Repair will be requested pursuant to the provisions of Section 1013.64(1)(a), Florida Statutes.



2. The University's approved Five Year Capital Improvement Plan (CIP) will be prioritized, in the first year, as indicated below. Any exceptions to these priorities must be justified. Each university should submit one and only one prioritized, sequentially numbered list.

Funding will be requested for institutional PECO projects in the following priority order:

- a. Matching external funds from non-private sources.
- b. Survey recommended continuation projects
 - i. Funded by the Legislature in the amount and in the year as last included on the Board of Governors adopted three year list.
 - ii. Funded by the Legislature, but not on the Board of Governors adopted three year list.
 - iii. Require additional funding to complete project as originally requested.
- c. Survey recommended renovation and remodeling projects to meet current space needs, structural/mechanical repairs, and technology upgrades that are in accordance with Section 1013.64(4)(a), Florida Statutes. (Survey recommendation)
- d. Survey recommended utilities/infrastructure/capital renewal/roofs needs.
- e. Survey recommended instructional, then research, followed by support space needs to include projects that will bring each university to the system average percentage of formula need.
- f. Survey recommended projects to provide specialized space for a newly approved academic program.
- g. Survey recommended land acquisition in accordance with University Board of Trustees adopted master plans.
- h. Non-survey recommended projects



- a. Continuation of funded projects included on the Board of Governors adopted three year list (Other funded projects will require additional review).
 - b. Utilities Infrastructure/Capital Renewal/Roofs needs.
 - c. Requests to increase the amount of initial construction funding for an existing project on the three year PECO list.
 - d. Renovation and remodeling projects to meet current space needs, structural/mechanical repairs, and technology upgrades that are in accordance with Section 1013.64(4)(a), Florida Statutes.
 - e. Instructional, then research, followed by support space needs to include projects that will bring each university to the system average percentage of formula need.
 - f. Projects to provide specialized space for a newly approved academic program.
 - g. Land acquisition in accordance with University Board of Trustees adopted master plans.
 - h. Matching external funds from non-private sources.
3. Special consideration and emphasis will be given to proposed joint-use PECO projects that meet the requirements of Section 1013.52, Florida Statutes.
 4. State matching funds for the facilities enhancement matching program will be requested for eligible projects as proposed by the universities that meet the requirements of Section 1013.79, Florida Statutes.
 5. Capital Improvement Trust Fund funding will be requested for eligible projects that meet the requirements of Section 1013.74, Florida Statutes.
 6. Required legislative authorizations will be requested for externally funded projects as proposed by the universities, in accordance with Section 1010.62.
 7. Each facilities project should be designated by strategic type:
 - Medical School Initiative
 - Strategic Discipline (Teaching, Nursing, Engineering)
 - Economic Development/Enhanced Critical Research
 - Improved Geographic Access
 - Campus Sustainability
 - Increased Baccalaureate Production
 - Other



Each university must incorporate utilization data as a factor in prioritization of university Capital Improvement Plan (CIP) funding requests to the Board of Governors. Programs with classroom and teaching lab space utilization below the current SUS standard would not be eligible for inclusion on the university CIP. General purpose classroom or teaching lab space (space not designated for a specific academic program or discipline) would not be eligible for inclusion if utilization was below the SUS standard. This standard would apply both to the university as a whole and on a site-specific basis, and would be effective for the 2010-2011 LBR cycle.

The following represents the timeline for submission of the SUS 2010-2011 Fixed Capital Outlay LBR.

- March: The BOG approves the LBR Policy Guidelines
- April: Chancellor provides technical instructions and requests universities to submit their Five-Year Capital Improvement Plans to include proposed projects and authorizations.
- May: BOG staff will review with University designee(s) the draft CIPs
- August: Universities' BOT submit Five-Year Capital Improvement Plans
- September: BOG approval of the Fixed Capital Outlay LBR
- October: Fixed Capital Outlay LBR is submitted to the Governor and Legislature
- November: Board of Governors approves University Compacts in which goals, initiatives, and financial assumptions are aligned with submitted LBR issues
- December: Universities submit amended Fixed Capital Outlay requests to BOG
- January: BOG approval of amended FCO requests
- March: If necessary, potential PECO amendments to reflect March PECO Revenue Estimating Conference allocations